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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/582,337 Application Number September 18, 2000 Filing Date TRANSMITTAL First Named Inventor TAMATANI, TAKUYA **FORM** Group Art Unit 1644 (to be used for all correspondence after initial filing) **Examiner Name** HUYNH, P. TECH CENTER 1600/2900 Attorney Docket Number SHIM-006 Total Number of Pages in This Submission ENCLOSURES (check all that apply) 冈 Fee Transmittal Form **Assignment Papers** After Allowance Communication (for an Application) to Group Fee Attached Appeal Communication to Board Drawing(s) X of Appeals and Interferences Amendment / Reply Licensing-related Papers After Final Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Petition Affidavits/declaration(s) Proprietary Information Petition to Convert to a **Extension of Time Request Provisional Application** Status Letter **Express Abandonment Request** Power of Attorney, Revocation Change of Correspondence Information Disclosure Statement Other Enclosure(s) (please Address identify below): Terminal Disclaimer Certified Copy of Priority return receipt postcard **Documents** Request for Refund Response to Missing Parts/ Incomplete Application CD, Number of CD(s) **COPY OF PAPERS** Response to Missing Parts Remarks **ORIGINALLY FILED** under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT KARL BOZICEVIC, Reg. No. 28,807 Individual Name Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail

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in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: May 30, 2002

Kimberly Williams Zuehlke

Typed or printed name

Signature



PTO/SB/17 (10-01)

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FEE TRANSMITTAL for FY 2002

| Complete if Known | | | | | | | |
|----------------------|--------------------|----|-------------|--|--|--|--|
| Application Number | 09/582,337 | | | | | | |
| Filing Date | September 18, 2000 | 오 | | | | | |
| First Named Inventor | TAMATANI, TAKUYA | C | <u>V</u> | | | | |
| Examiner Name | HUYNH, P. | Z | Z | | | | |
| Group Art Unit | 1644 | 田田 | | | | | |
| Attorney Docket No. | SHIM-006 | | | | | | |

| Patent fees are subject to annual revision. Examiner Name | | me | HUYNH, P. | z | Z | | |
|--|-----------|-----------------------------------|------------|--------------------------------|---|------------------------------------|--------------|
| | | Group | Art Ur | nit | 1644 | 亚 | - |
| TOTAL AMOUNT OF PAYMENT (\$) 680.00 | | Attorn | ey Doc | ket No. | SHIM-006 | - 33 - | 7 2 |
| METHOD OF PAYMENT | | | | FEE | CALCULATION (continued) | 80 | 001 |
| The Commissioner is hereby authorized to charge indicate fees and credit overpayments to: Deposit Account Number 50-0815 Deposit Account Name Bozicevic, Field & Francis LLP | ted 3. | ADDITI Large Entit e Fee | 9 | FEES Small Entity Fee | | /2900 | |
| ☐ Charge Any Additional Fee Required | | de (\$) | Code | (\$) | Fee Description | Fee Pa | id |
| Under 37 CFR 1.1 6 and 1.17 | 10: | 5 130 | 205 | 65 5 | Surcharge – late filing fee or oath | | |
| Applicant Claims small entity status. See 37 CFR 1.27 | 12 | 7 50 | 227 | | Surcharge – late provisional filing fee or cover sheet | | |
| 2. 🔲 Payment Enclosed: | 139 | 9 130 | 139 | 130 h | Non-English specification | | |
| ☐ Check ☐ Credit Card ☐ Money Order ☐ Other | 14 | 7 2,520 | 147 | 2,520 F | For filing a request for ex parte reexamination | | |
| FEE CALCULATION | 113 | 2 920* | 112 | | Requesting publication of SIR prior to Examination action | | |
| 2. BASIC FILING FEE Large Entity Small Entity | 113 | 3 1,840 | 113 | | Requesting publication of SIR after Examiner action | | |
| Fee Fee Fee Fee Description Fee Pai | d 11 | 5 110 | 215 | 55 E | Extension for reply within first month | | |
| 101 740 201 370 Utility filing fee | 110 | 6 400 | 216 | 200 E | Extension for reply within second month | 400.00 | |
| 106 330 206 165 Design filing fee | 113 | 7 920 | 217 | 460 E | Extension for reply within third month | | |
| 107 510 207 255 Plant filing fee | 110 | B 1,440 | 218 | 720 E | Extension for reply within fourth month | | |
| 108 740 208 370 Reissue filing fee | 128 | 8 1,960 | 228 | 980 E | Extension for reply within fifth month | | |
| 114 160 214 80 Provisional filing fee | 119 | 9 320 | 219 | 160 N | Notice of Appeal | _ | 1 |
| SURTOTAL (1) 0.00 | 120 | 0 320 | 220 | 160 F | Filing a brief in support of an appeal | COPY OF PAPERS ORIGINALLY FILED | 1 |
| OODIOTAL (1) | 12 | 1 280 | 221 | 140 F | Request for oral hearing | μΞ | |
| I. EXTRA CLAIM FEES Fee from | 138 | | | 1,510 F | Petition to institute a public use proceeding | ¥ ¥ | 1 |
| Extra Claims below Fee Paid | 140 | | 240 | * | Petition to revive – unavoidable | # 3 | - |
| Total Claims 26 -51** = 0 x = 0.00 | 141 | 1 1,280 | 241 | 640 F | Petition to revive – unintentional | ō≸ | 1 |
| ndep, Claims 3-8** = 0 x = 0.00 Multiple Dependent 280.00 = 280.00 | 143 | , | | | Utility issue fee (or reissue) | ≿ቼ | 1 |
| | 143 | | 243 | | Design issue fee | ŎĒ. | ł |
| | 144 | | 244 | | Plant issue fee | 00 | 1 |
| arge Entity Small Entity | 122 | | 122 | | Petitions to the Commissioner | | ı |
| Fee Fee Fee Fee Description | 12: | | 123 | | Processing fee under 37 CFR 1.17(q) | | |
| Code (\$) Code (\$) 03 18 203 9 Claims in excess of 20 | 120 58 | | 126 581 | 40 F | Submission of Information Disclosure Stmt Recording each patent assignment per | | |
| 102 84 202 42 Independent claims in excess of 3 | 1. | | | • | property (times number of properties) | | |
| 04 280 204 140 Multiple dependent claim, if not pa | d 140 | | 246 | • | For each additional invention to be examined (37 CFR § 1.129(a)) | | |
| 109 84 209 42 ** Reissue independent claims over original patent | 149 | | 249 | 6 | For each additional invention to be examined (37 CFR § 1.129(b)) | | |
| - ' | 179 | | 279 | | Request for Continued Examination (RCE) | | |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent | 169 | 9 900 | 169 | | Request for expedited examination of a design application | | |
| SUBTOTAL (2) \$ 280.00 | Oti | her fee (sp | ecify) | | | | |
| *or number previously paid, if greater; For Reissues, see above. | •R | educed by | Basic F | iling Fee F | Paid SUBTOTAL (3) (\$ | 400.00 | |

| SUBMITTED BY Comple | | | | lete (if applicable) | e (if applicable) | |
|---------------------|----------------|--------------------------------------|--------|----------------------|-------------------|--|
| Name (Print/Type) | Karl Bozicevic | Registration No. (Attorney/Agent) | 28,807 | Telephone | (650) 327-3400 | |
| Signature | //23) | rivi | | Date | 05/30/2002 | |

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